

The List

Top Wealth Management Firms and Practices (Investment minimums of at least \$1 million) Ranked by Assets Per Local Client

Locally Researched by: Madison Henry, Houston Business Journal

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Staff *Houston Business Journal*

Information for The List was obtained from questionnaires completed by firm/practice representatives, SEC filings and HBJ research. Not all information could be independently verified by the Houston Business Journal. Think your company belongs on The List? Contact the research director, Madison Henry, at mhenry@bizjournals.com for more information.

Rank	Practice Name	Assets Per Local Client	AUM for Houston Clients	Local Clients	Investment Minimum	Top Local Executive	Associated Company
1	Sentinel Trust Company LBA 2001 Kirby Dr. 12th Fl. Houston, TX 77019 713-559-9578 sentineltrust.com	93888889	3380000000	36	25000000	Bruce Swanson, chief investment officer, bswanson@sentineltrust.com	Independent firm
2	Monroe Vos Consulting Group Inc. 1001 McKinney St. #1200 Houston, TX 77002 713-227-0100 monroevos.com	55952381	4700000000	84	1000000	Jamison Monroe, chairman/CEO, jmonroe@monroevos.com	Independent firm
3	Tactical Associates 600 Travis St. #7400 Houston, TX 77002 713-632-8373 pbig.ml.com/pwa/system/advisorpage.aspx?pageurl=tactical_associates	31958763	3100000000	97	10000000	Phil Dodson, managing director/private wealth advisor, phil_dodson@ml.com; Malia Morales, SVP/private wealth advisor, malia_morales@ml.com	Merrill Lynch
4	Smith Warren & Associates 600 Travis St. #7400 Houston, TX 77002 713-632-9005 pbig.ml.com/pwa/system/advisorpage.aspx?pageurl=smithwarren	31381262	3828514022	122	10000000	Champ Warren, managing director wealth management/private wealth advisor	Merrill Lynch
5	The Novelli Group 600 Travis #3700 Houston, TX 77005 713-512-6642 morganstanleypwa.com/thenovelligroup/?Src=pdgoogle	11111111	1500000000	135	2500000	David Novelli, wealth management managing director, david.a.novelli@morganstanleypwm.com	Morgan Stanley
6	Atlantic Trust 11 Greenway Plz. #2625 Houston, TX 77046 832-941-5760 atlantictrust.com	10811539	1124400000	104	5000000	H. Scott Caven, managing director, scaven@atlantictrust.com; Bas Solleveld, SVP, bsolleveld@atlantictrust.com	Atlantic Trust
7	Harris Deaton Group 4444 Westheimer #G500 Houston, TX 77027 713-366-0525 uscallc.com	4150538	772000000	186	5000000	Ann Deaton, senior managing director, adeaton@uscallc.com; David Harris, senior managing director, dharris@uscallc.com	U.S. Capital Advisors LLC

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8	Kanaly Trust LTA 5555 San Felipe St. #200 Houston, TX 77056 713-561-9300 kanaly.com	3744917	2026000000	541	2000000	Andrew Kanaly, Chairman and President, dkanaly@kanaly.com	Independent firm
9	Investec Wealth Strategies 4900 Woodway #550 Houston, TX 77056 713-622-9111 investecwealth.com	2633061	326499597	124	1000000	John Goott, president/CEO	Independent firm
10	Segment Wealth Management LLC 3040 Post Oak Blvd. #1000A Houston, TX 77056 713-800-7151 segmentwm.com	2582609	297000000	115	5000000	Gil Baumgarten, president, gil.baumgarten@segmentwm.com	Independent firm
11	Patriot Wealth Management Inc. 1800 Bering #250 Houston, TX 77057 713-344-9300 patriotwealth.com	2574468	605000000	235	1000000	Robert Leslie, chief investment officer, robert@patriotwealth.com	Independent firm
12	J.D. Joyce Investment Management Group LLC 1811 Bering Dr. #250 Houston, TX 77057 713-714-8500 jdjoyceimg.com	2229885	194000000	87	1000000	John David "J.D." Joyce, president, jdjoyce@jdjoyce.com	Independent firm
13	Horizon Advisors LLC 2603 Augusta Dr. #1400 Houston, TX 77057 713-748-7000 horizon-advisors.com	1971273	149816780	76	1000000	Owen Murray, director of investments, omurray@horizon-advisors.com	Independent firm
14	MBR Financial 2000 W. Loop S #1510 Houston, TX 77027 832-667-8787 mbrfinancial.com	1964818	461732176	235	1000000	Trey Brady, principal; Mary Margolis, principal ; Suresh Raghavan, principal , suresh@mbrfinancial.com; Daniel Bender, principal , dan@mbrfinancial.com	Independent firm
15	Tanglewood Wealth Management Inc. 1400 Post Oak Blvd. #550 Houston, TX 77056 713-840-8880 tanglewoodwealth.com	1898013	573200000	302	2000000	John Merrill, president, jmerrill@tanglewoodwealth.com	Independent firm
16	Efficient Wealth Management 2829 Technology Forest Blvd. #300 The Woodlands, TX 77831 281-528-1200 efficientwealthmanagement.com	1733717	135229962	78	1000000	Paul Carroll, CEO/senior wealth manager, Paul@EfficientWealthManagement.com	Independent firm
17	Linscomb & Williams 1400 Post Oak Blvd. #1000 Houston, TX 77056 713-840-1000 linscomb-williams.com	1634197	2251923485	1378	1000000	J. Harold Williams, president/CEO, hwilliams@linscomb-williams.com	Independent firm
18	The Kline Group 16055 Space Center Blvd. #100 Houston, TX 77062 281-280-3319 morganstanleyfa.com/theklinegroup	1500000	150000000	100	1000000	Sally Kline, SVP, sally.kline@morganstanley.com	Morgan Stanley

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	Financial Synergies Asset Management Inc.						
19	4265 San Felipe St. #1450 Houston, TX 77027 713-623-6600 finsyn.com	1400000	420000000	300	1000000	Mike Booker, president/senior partner, mbooker@finsyn.com	Independent firm
	South Texas Money Management Ltd.						
20	1 Riverway 777 S. Post Oak Ln., #2055 Houston, TX 77056 713-683-3818 stmmltd.com	899721	259119507.97	288	1000000	Jeanie Wyatt, CEO/CIO, jwyatt@stmmltd.com	Independent firm
	HFG Wealth Management LLC						
21	1790 Hughes Landing #350 The Woodlands, TX 77380 832-585-0110 hfgwm.com	482014	134000000	278	1000000	Larry Harvey, founder/CEO	Independent firm